

# Contemporary Amperex Technology Co., Limited

## Supply Chain Resilience Management Policy

### I. Purpose

Guided by the vision of “building a co-creative and mutual-beneficial supply chain ecosystem through excellent team capabilities and services, and leading the high-quality development of the supply chain” , the Company continuously establishes a stable, transparent, and resilient supply chain management system. This solidifies the foundation for the stable delivery of products and services, comprehensively enhances the Company's operational continuity and risk resilience in complex market environments, and effectively prevents and mitigates risks related to production operations, supply chain quality, safety, and various production disruptions.

### II. Scope of Application

This policy applies to Contemporary Amperex Technology Co., Limited and its wholly-owned and holding subsidiaries

### III. Policy Details

The Company establishes a regular supply chain risk assessment mechanism to conduct systematic and comprehensive risk identification and evaluation of suppliers on a periodic basis. This enables precise identification of risk types and sources that may

affect production capacity and supply chain stability, allowing for the proactive formulation of targeted prevention and response measures to ensure the stable and orderly operation of the supply chain.

To systematically mitigate various potential supply chain risks, the Company establishes a comprehensive supply chain risk mitigation management system. For risks such as production disruptions and material supply interruptions, the Company develops forward-looking and routine prevention measures across multiple dimensions, including resource allocation, inventory management, and supply chain collaboration. This minimizes the negative impact of unforeseen risks on business operations and ensures continuity in production and operations.

**Resource  
Allocation  
Management**

- Multi-Supplier Strategy: The Company regularly develops and qualifies backup suppliers. For each category of materials, at least two qualified suppliers are maintained to fully eliminate the risks of single-source reliance. This enables rapid supplier replacement in case of disruptions to primary suppliers and ensures a steady supply of materials.
- Backup Production Base Layout: Based on market demand forecasts, the Company formulates contingency tooling solutions and deploys identical production tooling across all sites to build a comprehensive backup production base

	<p>system. In case of tight capacity or operational disruptions at main production bases, rapid production shift can be realized. Supported by cross-base tooling compatibility, continuous production and stable supply are guaranteed.</p> <ul style="list-style-type: none"> <li>■ Product and Process Substitution Optimization: For high-risk supply chain scenarios, the Company reduces reliance on high-risk materials and suppliers by optimizing product design, introducing alternative production processes, and adopting mature and stable technical approaches, thereby strengthening the supply chain's risk resilience from the source.</li> </ul>
<p>库存管理管控 Inventory Management</p>	<ul style="list-style-type: none"> <li>■ Dynamic Safety Stock Management: The Company regularly reviews material requirements, tracks raw material prices and supply trends, and develops strategic material reserve plans. In response to potential supply disruption risks, safety stock levels and reserve cycles are dynamically adjusted to ensure sufficient material buffering capacity during the initial stages of a risk event.</li> <li>■ Advance Stocking for High-Risk Materials: For high-risk materials and seasonally scarce supplies, advance stocking</li> </ul>

	<p>and inventory reserves are planned to effectively mitigate risks of material supply fluctuations and interruptions.</p> <ul style="list-style-type: none"> <li>■ Proximity-Based External Warehousing: In accordance with customer location requirements and CATL' s management regulations on the safety, facilities and other aspects of external warehouses, external warehouses are planned and established near customer facilities or project sites to closely align with production needs, efficiently respond to supply instructions, and effectively hedge against supply chain volatility risks.</li> </ul>
<p>供应链协同管控</p>	<ul style="list-style-type: none"> <li>■ Deepening Strategic Supply Chain Partnerships: The Company continuously strengthens collaboration with suppliers at all levels and industry chain partners. By establishing strategic partnerships and signing long-term framework agreements, the Company solidifies the supply chain cooperation system and enhances the stability of supply-demand coordination.</li> <li>■ Promoting Supply Chain Localization: The Company promotes the implementation of localized sourcing strategies across production bases while encouraging non-local</li> </ul>

suppliers to establish production facilities near these bases.

This reduces transportation cycles, improves supply response speed, and comprehensively enhances supply chain resilience.

- **Coordination of Core Production Capacity:** The Company establishes regular and frequent communication mechanisms with core suppliers, sharing demand forecasts in advance. In scenarios of capacity constraints, the Company coordinates with suppliers to prioritize production and ensure supply, stabilizing the supply of core materials.
- **Vertical Integration of Upstream Resources:** To ensure long-term stable supply of key mineral resources for battery production, the Company engages in investment, construction, and operation of upstream mineral resources through various methods such as self-construction, equity participation, and joint ventures, thereby strengthening resource security capabilities at the source.

To effectively prevent and manage potential supply chain risks and unforeseen incidents, achieving risk prevention in advance, rapid response during incidents, and closed-loop control afterward, the Company establishes a comprehensive emergency management

and response mechanism. Through standardized emergency procedures, various sudden supply risks are addressed to minimize adverse impacts, achieving closed-loop risk management.

**Emergency Management and Response**

- **Emergency Information Collection and Risk Assessment:** Dedicated emergency management personnel are assigned to routinely collect supply chain emergency information through external feedback and public information channels. Upon receiving information, an initial assessment of the emergency event type, impact scope, and risk level is conducted promptly.
- **Risk Warning and Investigation Initiation:** If an emergency mechanism is deemed necessary based on the assessment, the Company precisely identifies the list of at-risk suppliers, coordinates with the corresponding production base emergency management teams, and formally initiates specialized risk investigation work.
- **Supplier Risk Investigation and Plan Formulation:** Relevant suppliers are organized to conduct specialized self-inspections and provide feedback on the investigation results. Potential supply risks are comprehensively analyzed,

and targeted supply assurance and risk response plans are formulated based on actual conditions to ensure material supply continuity.

- Risk Review and Information Consolidation: Upon receiving supplier investigation feedback, base emergency management personnel review material supply risks in conjunction with production plans, dynamically track supplier inventory and capacity status, consolidate risk information, and report it to the headquarters emergency management department.
- Risk Closed-Loop Resolution and Review: Based on identified and confirmed supply risks, specialized response and resolution plans are implemented, and risk resolution progress is monitored until risks are fully closed. After emergency incident resolution, lessons learned are summarized to optimize the risk prevention and control system.

**IV. Effective Date**

This policy takes effect from the date of issuance.

Contemporary Amperex Technology Co., Limited

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