



5. Reporting reward fund and rewarding methods

- 5.1 CATL sets up a reporting reward fund under the management by COC Consulting Office.
- 5.2 COC should determine the amount of rewards based on nature and circumstance of violations as well as value of reported information. For an individual case, no more than RMB 200,000 will be rewarded in principle, expect when special contribution is made for reporting and approval for more reward from CATL President is obtained.
- 5.3 If several people report the same violation jointly, only one reward will be granted. In principle, in such cases only the informer giving the earliest report or contributing most to case investigation will be rewarded.

6. Management of informers being framed up or retaliated

- 6.1 Individuals retaliating informers or having informers retaliated will be dealt with seriously according to CATL Code of Conduct; if crime is involved, such individuals will be transferred to the judicial authority.

7. Safeguard measures for informers

- 7.1 CATL will retain right of partnership and provide additional rewards for partners including clients and suppliers taking initiative to report violations. CALT will exempt partners proactively reporting violations or providing valuable information from relevance to such violations and retain their right of partnership.
 - 7.1.1 If a partner voluntarily reveals to CATL the fact that it has actively or passively offered unfair benefits to CATL staff and associated persons, CATL will maintain business cooperation with such partner and will exempt it from violations it has described and relevant punishment.
 - 7.1.2 If a partner actively assists CATL in investigation of reported violations and admits its violations voluntarily, CATL will mitigate its liability for such



violations; if information concerning violations provided by such partner is beyond the knowledge of CATL, CATL will exempt it from punishment within the power of CATL.

Contemporary Amperex Technology Co., Limited

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